

LIST OF DOCUMENTS FOR NON-RESIDENT LEGAL ENTITIES FOR THE PURPOSE OF THEIR IDENTIFICATION AND REGISTRATION AS DFA IS USERS WITHOUT OPENING A BANK ACCOUNT WITH EVROFINANCE MOSNARBANK

To identify and register as Information System users of Evrofinance Mosnarbank (hereinafter DFA IS), non-resident legal entities (hereinafter Applicants) shall submit the following documents to the Bank:

1. Application of the Applicant for registration as a user of DFA IS (Appendix No. 1).
 2. Questionnaire of a legal entity (user of the DFA IS platform without opening an account) (Appendix No. 2). Self-certification form for Applicants being legal entities that are not credit organizations (Appendix No. 3).
 3. Power of attorney for a representative authorized to act on Applicant's behalf when interacting with the Bank as the operator of DFA IS when entering information in respect of the Applicant into the information system users register.
 4. Constituent documents and documents confirming state registration of a legal entity, as well as other documents determining the Applicant's legal status in accordance with the laws of the country of location. These documents shall contain the following information: name, organizational legal form, information on state registration (date, registration number, name of the registering authority, place of state registration (location), address, information on founders (shareholders)).
 5. Documents confirming powers of the sole executive body(ies) (head of the Applicant).
 6. Identity documents (including a document confirming the person's right to stay (residence) in the Russian Federation and/or migration card – for foreign citizens and stateless persons) of the sole executive body, as well as the Applicant's representative, specified in Clause 3 above.
 7. A document confirming the Applicant's registration with the tax authority, or, in the absence thereof, an indication that it is impossible to obtain it in the Application for registration as a DFA IS user (see Clause 1 above).
 8. Information (documents) on the financial condition¹:
 - 8.1. a copy of the audited annual financial statements (if not available, a copy of the annual financial management report) (IFRS reporting);
 - or*
 - 8.2. a letter confirming that there is no obligation to submit financial reports to the competent state authorities at the place of registration or activity.
 9. Information on the business reputation.
 - 9.1. opinions (in optional written form) on the legal entity from other Bank's clients that are in business relations with the legal entity;
 - or*
 - 9.2. opinions (in optional written form) from other credit institutions, which previously serviced/are servicing the Applicant, with their evaluation of the Applicant's business reputation.
- If unable to submit opinions as specified in Clauses 9.1, 9.2, the Applicant may submit:**
- 9.3. opinions (in optional written form) of the Applicant's basic/projected counterparties that are in business relations with the Applicant, with their evaluation of the Applicant's business reputation.
- or*
- 9.4. information from the Applicant indicating information on its activities, development plans, industry affiliation, information on the proposed main counterparties.

¹ Not required if up-to-date reporting is available from official sources.

The Bank reserves the right to request additional documents.

The documents specified in the list must be submitted to the Bank in the following form:

All documents (including an identity document) drawn up in a foreign language shall be submitted with a notarized translation into Russian, except for the following documents:

- issued by competent authorities of foreign countries, drawn up in several languages, including Russian;
- issued by the competent authorities of foreign countries, certifying the identity of individuals, provided that the individual has a document confirming the right to legally stay (reside) in the territory of the Russian Federation (e.g. entry visa, migration card).

Documents containing registration marks and certificates of acknowledgement of corresponding government agencies/public officials/notaries of the foreign state shall be legalized with the Russian Embassy (Consulate) abroad or apostilled in accordance with Article 4 of the Hague Convention dated 05.10.1961, and shall be translated into Russian. The Russian translation (the translator's signature) shall be notarized.

Documents issued by the competent authorities of foreign states confirming the status of the legal entity may be provided without their legalization. However, if the Bank has doubts about the reliability or accuracy of the submitted documents and (or) information or suspects that the agreement with the Bank is executed with the intent to perform transactions for the purpose of money laundering or terrorism financing, the Bank shall be entitled to request the documents in apostilled/legalized form.

All documents shall be submitted to the Bank as notarized copies or originals.

A copy of the identity document of the Applicant's sole executive body may be certified by the Applicant's authorized signatory, with a Russian translation attached and the translator's signature notarized.

Copies of the documents specified in Clauses 8.1, 9.1-9.3, must be certified by an authorized signatory of the Applicant. Copies of the Applicant's internal documents not subject to registration with the authorized bodies of the country of location/notarization may be certified by an authorized signatory of the Applicant.

Copies certified by an authorized signatory of the Applicant shall contain the words "True Copy", the certification date, signature and name of the signatory certifying the copy.

The Bank shall reserve the right to demand submission of the original documents for familiarization.